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## **Final Minutes of the Compliance Monitoring and Enforcement Program Advisory Council Meeting**

### **Webex**

*September 29, 2021 9:02 a.m. to 2:52 p.m. Central*

*November 5, 2021 12:00 p.m. to 2:23 p.m. Central*

*Notice for this meeting was electronically posted to the MRO website [here](#) on September 13, 2021. A final agenda, including advanced reading materials, was also posted on September 22, 2021. The Compliance Monitoring and Enforcement Program Advisory Council deferred discussion of item number nine, and reconvened on November 5, 2021. Notice for reconvening was provided to the CMEPAC on November 2, 2021.*

#### **1. Call to Order and Determination of Quorum**

Compliance Monitoring and Enforcement Program Advisory Council (CMEPAC) Chair Carl Stelly called the meeting to order at 9:02 a.m. Central. As meeting secretary, Michelle Olson, Compliance Monitoring Administrator, advised the chair that a quorum of the CMEPAC was present. A complete list of attendees is included as [Exhibit A](#).

#### **2. Standards of Conduct and Antitrust Guidelines**

Pursuant to Policy and Procedure 4, Chair Stelly highlighted MRO's Standards of Conduct, Conflict of Interest, and Antitrust Guidelines.

#### **3. Robert's Rules of Order**

Stelly referred to the Robert's Rules of Order summary included in the packet.

#### **4. CMEP Quarterly Report Discussion**

CMEPAC Staff Liaison and Director of Compliance Monitoring, Jeff Norman, NERC Standards Review Forum (NSRF) Staff Liaison and Director of Risk Assessment and Mitigation, Bill Steiner, and Director of Enforcement and External Affairs, Tasha Ward, provided a quarterly report to the CMEPAC. The presentation was included in the agenda packet. Discussion ensued.

#### **5. Conference Feedback/Attendance**

Vice Chair Terri Pyle presented the 2021 CMEP feedback noting attendance was around 430 connections with 84 individuals providing feedback. She highlighted that there were few presenters that received scores below a three, most receiving a 4 or 5, and presented feedback on potential topics for next year's conference. Norman suggested that we use some of the suggestions as webinar ideas for the future. Stelly and Vice Chair Pyle thanked all the participants and encouraged members to continue to participate next year. CMEPAC Staff Support Desirée Sawyer, Compliance Monitoring Coordinator, noted that the CMEP conference had attendance for entities outside of MRO's footprint, other regions, and the Federal Energy Regulatory Commission. Discussion ensued.



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## **6. CMEPAC Monthly Calls**

Stelly reviewed the logistics and background of the CMEPAC Monthly Calls. Sawyer informed the council of pre-meeting preparations including registration and security measures. Stelly asked if the CMEPAC Monthly Calls should remain closed or if the CMEPAC would like to try an open call. Pyle brought up using the Mid-Continent Compliance Forum (MCCF) as a mechanism to continue having closed calls. The MCCF has invited Stelly and Pyle to participate in their next leadership call. Discussion Ensued.

The CMEPAC agreed that the CMEPAC Monthly Calls provide value. However, no decisions were made on whether to continue the CMEPAC Monthly Calls as closed or open calls at this time. This will be discussed as part of the work plan in the fourth quarter.

## **7. AskCMEPAC**

Stelly provided an overview and background of the purpose of the AskCMEPAC email and reminded members and guests of the address and process. Stelly provided clarification on the anonymity of the questions and how and why they may be brought up during a monthly call. A member asked if the MRO website refers to the AskHEROs for Align questions. The member then suggested that AskCMEPAC email, along with AskHEROs, be included on a readily available contact page on the website. Discussion ensued.

## **8. Regional Risk Assessment Drafting Input**

Lee Felter, MRO Risk Assessment and Mitigation Engineer, presented on how the MRO Regional Risk Assessment (RRA) is created and utilized. This presentation was included in the agenda packet. Felter then provided the CMEPAC with the opportunity to bring ideas forward to include in the MRO RRA. Steiner clarified that this is a voluntary opportunity and not an assignment for the CMEPAC. Norman included that this is an opportunity to provide further feedback that may have been missed when creating the RRA Risk Heat Map. Discussion Ensued.

## **9. Self-Certification Worksheet Feedback**

Sawyer thanked the CMEPAC for providing feedback on the first quarter 2022 Self-Certification worksheets and provided a timeline on when they will be issued.

## **10. OGOC Meeting Preparations**

Stelly led the CMEPAC in discussion to prepare for the meeting with the OGOC. Stelly emphasized the importance of the CMEPAC engaging with the OGOC in solid discussion and for the council to utilize the time to its advantage. The speakers for the OGOC meeting provided dry runs on their topics and the CMEPAC provided feedback. Discussion ensued.

## **11. Meeting with OGOC**

Stelly thanked the OGOC for joining and provided an update on the CMEPAC Calls, the AskCMEPAC email inbox, and other previous agenda items. Pyle provided a summary of the 2021 CMEP Conference Feedback. Jess Syring, MRO Compliance Monitoring Manager, CIP, provided an update on the CIP-012 Readiness Assessment Pilot program including genesis, process, and progress. The OGOC provided feedback, asked about possible process gaps, and discussion ensued. Stelly provided



an update on how the CMEPAC plans to provide outreach to other entities to develop internal controls and obtain feedback. The OGOC provided feedback and discussion ensued.

## **12. Diversity**

Julie Peterson, MRO Assistant Corporate Secretary and Senior Counsel, provided a presentation on the diversity of the organizational groups and provided ideas on how to increase diversity and be more inclusive within the committees.

## **13. Standing Reports Discussion**

The CMEPAC next heard standing reports.

### *NERC Compliance and Certification Committee (NERC CCC)*

Mahmood Safi provided an orgal update regarding the NERC CCC. Discussion ensued.

### *NERC Standards Review Forum (NSRF)*

Joe DePoorter provided an NSRF report in the agenda packet.

### *Mid-Continent Compliance Forum (MCCF)*

Mark Buchholz provided an MCCF report in the agenda packet.

### *SPP Reliability Compliance Advisory Group (RCAG)*

Buchholz provided a RCAG report in the agenda packet.

## **14. New Collaboration Site**

Sawyer provided a demonstration of the new CMEP Collaboration site. Instructions to sign into the site were provided to the CMEPAC in the agenda packet.

## **15. 2022 Nomination Process**

Stelly provided a review of the 2022 CMEPAC nomination process and encouraged nominations.

## **16. Proposed 2022 CMEPAC Meeting dates**

Stelly presented the proposed meeting dates for 2022 to the advisory council members. Discussion ensued.

## **17. Action Items**

Sawyer reviewed the action items captured during the meeting.

*The CMEPAC recessed at 2:52 p.m. Central and reconvened on November 5, 2021 at 12:00 p.m. Central.*

## **18. Work Plan Updates**

The CMEPAC discussed the status of the work plan items and had an opportunity to revise the strategic items of the plan. Discussion ensued. Several comments we added including recommendations for the draft 2022 work plan. More groups were added to line item three. The CMEPAC will discuss whether to move forward with line item number five in 2022 in their first quarter meeting. Item number eight was separated to account for the difference between webinars and

Standard Application Guides. The CMEPAC will discuss whether to move forward with line item number five in 2022 in their first quarter meeting. The CMEPAC discussed the status and progress of items below the blue line.

### 19. Adjourn

Having no further business to discuss, the meeting was adjourned at 2:23 p.m. Central.

**Prepared by:** Michelle Olson, Compliance Monitoring Administrator and CMEP Advisory Council Staff Support

**Reviewed and Submitted by:** Jeff Norman, Director of Compliance Monitoring and CMEPAC Staff Liaison

## Exhibit A – Meeting Attendees

Council Members Present	
Name	Company, Role
Carl Stelly	Southwest Power Pool, Inc., Chair
Terri Pyle	Oklahoma Gas and Electric, Vice Chair
Eric Ruskamp	Lincoln Electric System , Member
Fred Meyer	Algonquin Power & Utilities Corp, Member
Joe DePoorter	Madison Gas & Electric, Member
Mahmood Safi	Omaha Public Power District, Member
Mark Buchholz	Western Area Power Administration, Member
Mike Smith	Manitoba Hydro, Member
Paul Mehlhaff	Sunflower Electric Power Cooperative, Member
Theresa Allard	Minnkota Power Cooperative, Member
Tiffany Lake	Evergy, Inc., Member
Tracey Stewart	Southwestern Power Administration, Member
Other Attendees	
Name	Company



AJ Coffelt	Evergy, Inc.
Amy Casuscelli	Xcel Energy
Angela Wheat	Southwestern Power Administration
Brian Capistrant	Xcel Energy
Bryan Clark	MRO Director of Reliability Analysis
Colleen Peterson	Basin Electric Power Cooperative
Courtney Vetter	Montana Dakota Utilities Company
Daniel Moore	Western Farmers Electric Cooperative
Darnez Gresham	MidAmerican Energy Company
Dave Andrist	Willmar Municipal Utilities
David Rudolph	Basin Electric Power Cooperative
Dehn Stevens	MidAmerican Energy Company
Desiree Sawyer	Midwest Reliability Organization
Frank Kennedy	Alliant Energy
Gordy Pietsch	Great River Energy
Larry Heckert	Alliant Energy
Jeanne Tisinger	MRO Board of Directors, Independent
Jeff Norman	MRO Director of Compliance Monitoring
Jennifer Flandermeyer	Evergy, Inc.
Jess Syring	MRO Compliance Monitoring Manager, CIP
John Seidel	MRO Principal Technical Advisor
Jonathan Shipman	Omaha Public Power District
Joseph Knight	Great River Energy
Julie Peterson	MRO Assistant Corporate Secretary and Senior Counsel
Julie Sikes	MRO Compliance Monitoring Manager, O&P
Kelly Heims	Central Iowa Power Cooperative



Ken Burruss	Mountrail-Williams Electric Cooperative
Kendra Buesgens	MRO Risk Assessment and Mitigation Administrator
Kevin Giles	Evergy, Inc.
Kevin Lyons	Central Iowa Power Cooperative
Larry Brusseau	Corn Belt Power Cooperative
Lee Felter	MRO Principal Risk Assessment & Mitigation Engineer
Lisa Stellmaker	MRO Executive Administrator and Office Manager
Lloyd Linke	Western Area Power Administration
Marc Gomez	Southwestern Power Administration
Marissa Falco	MRO Risk Assessment and Mitigation Technical Coordinator
Mark Hardaway	Western Farmers Electric Cooperative
Mary Agnes Nimis	Federal Energy Regulatory Commission
Matthew Harward	Southwest Power Pool
Michelle Olson	MRO Compliance Monitoring Administrator
Mike Bowman	SPRM City Utilities
Nikki Dacus	Western Farmers Electric Cooperative
Omar Elabbady	Xcel Energy
Paul Barber	MRO Board of Directors, Independent
Paul Crist	Lincoln Electric System
Richard Bassett	Saskatchewan Power Corporation
Richard Bou	Xcel Energy
Richard Burt	MRO Sr. Vice President and Chief Operating Officer
Shawna Satterwhite	Oklahoma Gas and Electric
Sing Tay	Oklahoma Gas and Electric
Steen Fjalstad	MRO Director of Security
Tasha Ward	MRO Director of Enforcement and External Affairs



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Terry Volkmann	Glencoe Power & Light
Tina Adams	Western Farmers Electric Cooperative
Tony Clark	Manitoba Hydro
Tony Eddleman	Nebraska Public Power District
Uttam Adhikari	MRO Senior Risk Assessment and Mitigation Engineer
Vito Giarrusso	Algonquin Power & Utilities Corp
William Steiner	MRO Director of Risk Assessment & Mitigation

*The above list may not reflect all meeting attendees  
as the meeting was open for observation only via Webex.*