



MIDWEST
RELIABILITY
ORGANIZATION

Meeting Agenda

Compliance Monitoring Enforcement Program Advisory Council (CMEPAC)

February 15, 2022
9:00 am to 3:00 pm central

Via Webex

VIDEO AND AUDIO RECORDING

Please note that Midwest Reliability Organization (MRO) may make a video and/or an audio recording of this organizational group meeting for the purposes of making this information available to board members, members, stakeholders and the general public who are unable to attend the meeting in person.

By attending this meeting, I grant MRO:

1. Permission to video and/or audio record the meeting including me; and
2. The right to edit, use, and publish the video and/or audio recording.
3. I understand that neither I nor my employer has any right to be compensated in connection with the video and/or audio recording or the granting of this consent.

MRO ORGANIZATIONAL GROUP GUIDING PRINCIPLES

These MRO Organizational Group Guiding Principles complement charters. When the Principles are employed by members, they will support the overall purpose of the organizational groups.

Organizational Group Members should:

1. Make every attempt to attend all meetings in person or via webinar.
2. Be responsive to requests, action items, and deadlines.
3. Be active and involved in all organizational group meetings by reviewing all pre-meeting materials and being focused and engaged during the meeting.
4. Be self-motivating, focusing on outcomes during meetings and implementing work plans to benefit MRO and MRO's registered entities.
5. Ensure that the organizational group supports MRO strategic initiatives in current and planned tasks.
6. Be supportive of Highly Effective Reliability Organization (HERO™) principles.
7. Be supportive of proactive initiatives that improve effectiveness and efficiency for MRO and MRO's registered entities.

MRO CMEP ADVISORY COUNCIL Q1 MEETING AGENDA

Agenda Item

- 1 Call to Order and Determination of Quorum**
CMEP Advisory Council Chair
 - a. Determination of Quorum
Michelle Olson, CMEP Advisory Council Meeting Secretary
 - b. Robert's Rules of Order
- 2 Standards of Conduct and Antitrust Guidelines**
CMEP Advisory Council Chair
- 3 Advisory Council Logistics**
Michelle Olson, CMEP Advisory Council Meeting Secretary
 - a. Collaboration Site
 - b. Work Plan/Action Items
 - c. Minutes
 - d. Self-Certification Worksheet Review
- 4 CMEPAC Monthly Call**
CMEP Advisory Council Chair
- 5 Ask CMEPAC**
CMEP Advisory Council Chair

Break – 11:00 a.m.

- 6 Standing Reports**
 - a. NERC Compliance and Certification Committee (NERC CCC)
Erin Cullum Marcussen, NERC CCC Member
 - b. Standards Committee (SC)
Troy Brumfield, NERC SC Member
 - c. NERC Standards Review Forum (NSRF)
George Brown, NSRF Chair
 - d. Mid-Continent Compliance Forum (MCCF)
Mark Buchholz, CMEPAC and MCCF Member
 - e. SPP Reliability Compliance Advisory Group (RCAG)
Mark Buchholz, CMEPAC and RCAG Member

Break – 1:30 p.m.

- 7 Subteam Volunteers**
CMEP Advisory Council Chair
- 8 CMEPAC 2022 Work Plan**
CMEP Advisory Council Chair
- 9 2022 CMEP Conference Planning**
CMEP Advisory Council Chair and Conference Subteam
- 10 Action Item Review**
CMEPAC Meeting Secretary
- 11 Other Business and Adjourn**
CMEP Advisory Council Chair

AGENDA 1

Call to Order and Determination of Quorum

- a. Determination of Quorum and Introductions - Roster
Terri Pyle, CMEPAC Chair

| Name | Role | Company | Term |
|----------------|------------|--------------------------------------|------------|
| Terri Pyle | Chair | Oklahoma Gas and Electric | 12/31/2023 |
| Open | Vice Chair | | 12/31/2024 |
| Eric Ruskamp | Member | Lincoln Electric System | 12/31/2023 |
| Fred Meyer | Member | Algonquin Power & Utilities Corp | 12/31/2022 |
| Larry Heckert | Member | Alliant Energy | 12/31/2024 |
| Mahmood Safi | Member | Omaha Public Power District | 12/31/2022 |
| Mark Buchholz | Member | Western Area Power Administration | 12/31/2022 |
| Matt Caves | Member | Western Farmers Electric Cooperative | 12/31/2022 |
| Paul Mehlhaff | Member | Sunflower Electric Power Cooperative | 12/31/2022 |
| Theresa Allard | Member | Minnkota Power Cooperative | 12/31/2023 |
| Tiffany Lake | Member | Evergy, Inc. | 12/31/2023 |
| Trevor Stiles | Member | American Transmission Company | 12/31/2024 |
| Carl Stelly | Member | Southwest Power Pool, Inc. | 12/31/2024 |
| Open | Member | | 12/31/2024 |
| Open | Member | | 12/31/2023 |

AGENDA 1

Call to Order and Determination of Quorum

b. Robert's Rules of Order

Terri Pyle, CMEPAC Chair

Parliamentary Procedures. Based on Robert's Rules of Order, Newly Revised, Tenth Edition

Establishing a Quorum. In order to make efficient use of time at MRO organizational group meetings, once a quorum is established, the meeting will continue, however, no votes will be taken unless a quorum is present at the time any vote is taken.

Motions. Unless noted otherwise, all procedures require a "second" to enable discussion.

| When you want to... | Procedure | Debatable | Comments |
|--|--|-----------|--|
| Raise an issue for discussion | Move | Yes | The main action that begins a debate. |
| Revise a Motion currently under discussion | Amend | Yes | Takes precedence over discussion of main motion. Motions to amend an amendment are allowed, but not any further. The amendment must be germane to the main motion, and cannot reverse the intent of the main motion. |
| Reconsider a Motion already resolved | Reconsider | Yes | Allowed only by member who voted on the prevailing side of the original motion. Second by anyone. |
| End debate | Call for the Question <i>or</i> End Debate | No | If the Chair senses that the committee is ready to vote, he may say "if there are no objections, we will now vote on the Motion." Otherwise, this motion is not debatable and subject to majority approval. |
| Record each member's vote on a Motion | Request a Roll Call Vote | No | Takes precedence over main motion. No debate allowed, but the members must approve by majority. |
| Postpone discussion until later in the meeting | Lay on the Table | Yes | Takes precedence over main motion. Used only to postpone discussion until later in the meeting. |
| Postpone discussion until a future date | Postpone until | Yes | Takes precedence over main motion. Debatable only regarding the date (and time) at which to bring the Motion back for further discussion. |

| | | | |
|---|-----------------------|-----|---|
| Remove the motion for any further consideration | Postpone indefinitely | Yes | Takes precedence over main motion. Debate can extend to the discussion of the main motion. If approved, it effectively “kills” the motion. Useful for disposing of a badly chosen motion that cannot be adopted or rejected without undesirable consequences. |
| Request a review of procedure | Point of order | No | Second not required. The Chair or secretary shall review the parliamentary procedure used during the discussion of the Motion. |

Notes on Motions

Seconds. A Motion must have a second to ensure that at least two members wish to discuss the issue. The “second” is not required to be recorded in the minutes. Neither are motions that do not receive a second.

Announcement by the Chair. The chair should announce the Motion before debate begins. This ensures that the wording is understood by the membership. Once the Motion is announced and seconded, the Committee “owns” the motion, and must deal with it according to parliamentary procedure.

Voting

| Voting Method | When Used | How Recorded in Minutes |
|-------------------------------|---|--|
| | When the Chair senses that the Committee is substantially in agreement, and the Motion needed little or no debate. No actual vote is taken. | The minutes show “by unanimous consent.” |
| Vote by Voice | The standard practice. | The minutes show Approved or Not Approved (or Failed). |
| Vote by Show of Hands (tally) | To record the number of votes on each side when an issue has engendered substantial debate or appears to be divisive. Also used when a Voice Vote is inconclusive. (The Chair should ask for a Vote by Show of Hands when requested by a member). | The minutes show both vote totals, and then Approved or Not Approved (or Failed). |
| Vote by Roll Call | To record each member’s vote. Each member is called upon by the Secretary, and the member indicates either “Yes,” “No,” or “Present” if abstaining. | The minutes will include the list of members, how each voted or abstained, and the vote totals. Those members for which a “Yes,” “No,” or “Present” is not shown are considered absent for the vote. |

Notes on Voting.

Abstentions. When a member abstains, he/she is not voting on the Motion, and his/her abstention is not counted in determining the results of the vote. The Chair should not ask for a tally of those who abstained.

Determining the results. A simple majority of the votes cast is required to approve an organizational group recommendations or decision.

“Unanimous Approval.” Can only be determined by a Roll Call vote because the other methods do not determine whether every member attending the meeting was actually present when the vote was taken, or whether there were abstentions.

Electronic Votes – For an e-mail vote to pass, the requirement is a simple majority of the votes cast during the time-period of the vote as established by the Committee Chair.

Majorities. Per Robert’s Rules, as well as MRO Policy and Procedure 3, a simple majority (one more than half) is required to pass motions.

AGENDA 2

Standards of Conduct and Anti-Trust Guidelines

Terri Pyle, CMEPAC Chair

Standards of Conduct Reminder:

Standards of Conduct prohibit MRO staff, committee, subcommittee, and task force members from sharing non-public transmission sensitive information with anyone who is either an affiliate merchant or could be a conduit of information to an affiliate merchant.

Anti-trust Reminder:

Participants in Midwest Reliability Organization meeting activities must refrain from the following when acting in their capacity as participants in Midwest Reliability Organization activities (i.e. meetings, conference calls, and informal discussions):

- Discussions involving pricing information; and
- Discussions of a participants marketing strategies; and
- Discussions regarding how customers and geographical areas are to be divided among competitors; and
- Discussions concerning the exclusion of competitors from markets; and
- Discussions concerning boycotting or group refusals to deal with competitors, vendors, or suppliers.

AGENDA 3

Advisory Council Logistics

Michelle Olson, CMEP Advisory Council Meeting Secretary

Action

Information

Report

Michelle Olson will provide an overview to the advisory council members to walk them through logistics for being members of this council. The presentation is available for CMEPAC members on the CMEPAC Collaboration Site.

AGENDA 4

CMEPAC Monthly Calls

Terri Pyle, Chair

Action

Information

Report

CMEPAC Monthly Call Metrics

| Month | Attendees | Average Duration of Attendees |
|----------------|-----------|-------------------------------|
| October 2020 | 54 | 47 Minutes |
| November 2020 | 57 | 56 Minutes |
| December 2020 | 47 | 48 Minutes |
| January 2021 | 74 | 65 Minutes |
| February 2021 | 78 | 54 Minutes |
| March 2021 | 75 | 47 Minutes |
| April 2021 | 65 | 46 Minutes |
| May 2021 | 70 | 54 Minutes |
| June 2021 | 63 | 68 Minutes |
| July 2021 | 72 | 67 Minutes |
| August 2021 | 70 | 53 Minutes |
| September 2021 | 73 | 48 Minutes |
| October 2021 | 59 | 72 Minutes |
| November 2021 | 54 | 59 Minutes |
| December 2021 | 54 | 56 Minutes |
| January 2021 | 71 | 57 Minutes |

Currently there are 140 registered for the monthly calls.

AGENDA 5

AskCMEPAC

Terri Pyle, CMEPAC Chair

Action

Discussion

Report

Chair Pyle will lead this discussion during the meeting. The AskCMEPAC tracker is available on the collaboration site.

AGENDA 6

Standing Reports

- a. NERC Compliance and Certification Committee (NERC CCC)
Erin Cullum Marcussen, NERC CCC Member

Action

Information

Report

The NERC Compliance and Certification Committee (CCC) convened its first quarter meeting via WebEx on January 27, 2022. Sue Kelly, NERC Board of Trustees, provided a welcome and opening remarks. The CCC reviewed the status of its Work plan for 2022 and the CCC Executive Committee Scope and Responsibilities, and an overview was provided of the roles of the committees. NERC provided an informational review of the ERO Portal and the tools available to CCC members. Updates on the Stakeholder Perceptions Feedback were provided, including a report on 2021 activities and the development of a 2022 Stakeholder Perceptions Work Plan.

NERC Staff provided updates on the third release of the Align tool, as well as the ERO's increased focus on Internal Controls. Subcommittee reports were provided by the Nominating Committee, Organization Registration and Certification Subcommittee (ORCS), and the ERO Monitoring Subcommittee (EROMS). Updates were also provided on the NERC Standing Committee Coordination Group's upcoming training session for chairs and chair elects, and also on the NERC Board of Trustees and Members Representative Committee's (MRC) upcoming February 2022 meeting.

A recommendation was made by the Facility Ratings Task Force (FRTF) that the FRTF should be repurposed to focus on the technical aspects of facility ratings with transfer of ownership to the RSTC. This would sunset the FRTF. A motion was made and unanimously approved.

The next CCC meeting will be held April 26-28 at NextEra Energy in Palm Beach, FL.

AGENDA 6

Standing Reports

- b. NERC Standards Committee (SC)
Troy Brumfield, NERC SC Member

Action

Information

Report

- The SC last met on January 19, 2022 via WebEx.
- The committee reviewed the NERC Project Tracking spreadsheet and shared the status of Reliability Standards Projects under Development.
- The committee reviewed the nominations and approved the election of three candidates to the Standards Committee Executive Committee.
- NERC provided an overview of several projects that are ready to progress to the next phase of the Standards development process:

Project 2021-07 Extreme Cold Weather Grid Operations, Preparedness, and Coordination
Standards Authorization Request (SAR) Drafting Team (DT)-

After a brief discussion about candidate profiles and who should fill the chair and vice chair seats, a motion was approved to appoint the slate of candidates to the drafting team.

Request for Interpretation (RFI) CIP-002-5.1a Serial Communications-

The committee approved a motion to accept the RFI and authorize solicitation of DT members.

Reporting ACE Definition and Associated Terms Standard Authorization Request-

An SC member inquired if a separate technical justification document is required for this SAR. NERC explained that the technical justification can be provided in the SAR for this project. The committee approved a motion to authorize posting of the SAR for a 30-day informal comment period; and authorize solicitation of the SAR DT members.

Project 2021-04 Modifications to PRC-002-2-

The committee discussed the logistics of the project and quickly approved a motion to accept the SARs; authorize drafting revisions to the Reliability Standards identified in the SARs; and appoint the Project 2021-04 SAR DT as the Project 2021-04 Standard Drafting Team (SDT).

Transmission System Planning and Modeling Requirements Standard Authorization Requests-

The NERC Reliability, Security and Technology Committee along with NERC staff endorsed three SARs and submitted them to the NERC SC for approval:

- SAR to revise MOD-032-1
- SAR to revise TPL-001-5.1a, submitted by the Resources Performance Working Group
- IRPWG, SAR to revise TPL-001-5.1a, submitted by the System Planning Impacts of Distributive Energy Resources Working Group (SPIDERWG),

The committee approved a motion to authorize posting of all three SARs for a 30-day informal comment period; and authorize solicitation of the SAR DT members.

- NERC provided a legal update regarding recent and upcoming filings.
- The meeting was adjourned. The next SC meeting will be held on February 16, 2022 via WebEx.

AGENDA 6

Standing Reports

- c. MRO NERC Standards Review Forum (NSRF)
George Brown, NSRF Chair

Action

Information

Report

The NSRF report will begin on the next page.

First Quarter 2022 Report
George E. Brown, Chair
Matt Harward, Vice Chair

I. General

- NSRF Membership: 15/21, 2 Candidates
 - Cooperative: 3/3
 - Canadian Utility: 0/3, 1 Candidate
 - Federal Power Marketing Agency: 1/3, 1 Candidate
 - Generator and Power Marketer: 2/3
 - Investor Owned Utility: 3/3
 - Municipal Utility: 3/3
 - Transmission System Operator: 3/3
- New Chair: George E. Brown
- New Vice Chair: Matt Harward
- NSRF Meeting Attendance: Year to Date, an average of 90 members and guests each week.

II. Activity

The NSRF continues to focus on reviewing, developing recommendations and providing feedback on potential NERC Reliability Standard requirements, including any associated supporting documentation.

- Project 2020-04 Modifications to CIP-012: Comments Submitted
- Project 2020-05 Modifications to FAC-001 and FAC-002: Comments Submitted
- Project 2021-08 – Modifications to FAC-008 | SAR: Comments Submitted
- Project 2021-06 Modifications to IRO-010 and TOP-003 | SAR: Comments Underdevelopment
- FERC NOPR on Internal Network Security Monitoring for High and Medium Impact Bulk Electric System Cyber Systems: Comments Underdevelopment
- Project 2022-02 Modifications to TPL-001-5.1 and MOD-032-1: Comments Underdevelopment
- Project 2021-07 Extreme Cold Weather Grid Operations, Preparedness, and Coordination: preliminary discussions and anticipate the NSRF can provide a lot of help guiding the standard development.

- NERC Industry Workshop Energy Reliability Assessment Task Force (IRATF) draft SAR: preliminary discussions and anticipate the NSRF can provide a lot of help guiding the standard development.

III. Emerging Challenges, Risks & Opportunities

The NSRF is a volunteer Forum that is comprised of industry professionals who understand that all NERC Reliability Standards must mitigate either a current risk or emerging risk to the BPS. The NSRF is building on the foundation that the CMEPAC and MRO Staff has set for our current and future state of reliability. Our challenge is to continually have a single voice that represents the entire MRO membership.

- Ensuring the NSRF membership is without open seats.
 - Consideration of any open NSRF membership seats become 'At-Large Seats' that are open to any industry sector, have a term of no greater than one year and no voting rights.

IV. Questions for the MRO Compliance Monitoring and Enforcement Program Advisory Council

- Discuss the timeframe to ratify NSRF candidates after a special election and the possibility of shortening this timeframe through use of process that is outside of the various committees regularly scheduled meetings.
 - Example: NSRF Voted on 02-02-2022, CMEPAC to vote on 02-15-2021 and OGOC to vote & ratify on 04-06-2022. A total of 63 days.

V. Other

- I would like recognize and thank Kendra Buesgens, Joe DePoorter, Larry Heckert, Matt Harward and the MRO NSRF Members & Guests for their continued support and counsel as the NSRF transitions with a new voice while maintaining its trajectory as an influential industry leading forum.

AGENDA 6

Standing Reports

- d. Mid-Continent Compliance Forum (MCCF)
Mark Buchholz, CMEPAC and MCCF Member

Action

Information

Report

The Mid-Continent Compliance Forum (MCCF) provides Registered Entities in the MRO footprint of the Eastern Interconnection a venue to share knowledge, lessons learned and best practices regarding compliance matters. The MCCF Steering Committee is established to coordinate MCCF meetings, develop meetings agendas, and communicate on compliance matters and actions by MCCF members. MCCF Steering Committee meets monthly via conference call or WebEx.

The MCCF Steering Committee last met on January 20, 2022, via WebEx. Topics included transition to a 501(c)3 non-profit organization and move from a Steering Committee to a Board of Directors; Preparations for a MCCF Webinar tentative scheduled for April 13, 2022, which included discussion on meeting topics; and MCCF Board of Director officer elections. The following individuals were elected to one year officer terms; JT Cawley (NPPD) – Chair; Paul Mehlauff – Vice Chair; Mark Buchholz (WAPA) – Secretary; and Shonda McCain (OPPD) – Treasurer.

The next MCCF Steering Committee Meeting is scheduled for February 17, 2022, via WebEx.

AGENDA 6

Standing Reports

- e. SPP Reliability Compliance Advisory Group (RCAG)
Mark Buchholz, CMEPAC and RCAG Member

Action

Information

Report

The SPP Reliability Compliance Advisory Group (RCAG) provides guidance on policy issues to the SPP RTO on reliability compliance activities of federal or regional regulators, or committees. It also provides expertise to other SPP Working Groups on membership issues related to regional compliance matters specific to execution, interpretation, or implementation of federal or regional regulatory requirements. The RCWG provides a stakeholder forum to encourage membership discussion of regional compliance issues and provide a means to communicate collectively membership concerns or issues with SPP RTO Compliance staff on matters of NERC Reliability Standard Compliance.

The SPP RCAG last met on February 14, 2022, via WebEx. Meeting minutes are available on the SPP.org website. Topics included: SPP CIP-012 Implementation Update; Stakeholder Compliance Contacts Update; Mapping SPP Criteria to new Operating/Planning Criteria; Revision Requests to eliminate redundancy in SPP documents; Mechanisms to ensure RCAG is added to SPP Revision Requests affecting SPP compliance; and follow up on the SPP OATT/Market Protocols review by SPP RCAG members. No stakeholder meetings will be scheduled on-site for the foreseeable future.

The next SPP RCAG Meeting is scheduled for March 14, 2022, via WebEx.

AGENDA 7

Subteam Volunteers
Terri Pyle, CMEPAC Chair

Action

Information

Report

Chair Pyle will lead this discussion during the meeting.

AGENDA 8

CMEPAC 2022 Work Plan

Terri Pyle, CMEPAC Chair

Action

Finalize 2022 work plan and vote to recommend to OGOC for approval.

Report

The draft work plan is available on the CMEPAC Collaboration site. It will also be presented during the meeting. Please come prepared to discuss any proposed revisions or additions to the work plan.

AGENDA 9

2022 Conference Planning

Terri Pyle, CMEPAC Chair

Conference Subteam

Action

Discussion

Report

The CMEP Conference will be held virtually on July 26, 2022. We need to begin to formalize a theme and agenda topics for this conference. You will find topics of interest gathered from various 2021 outreach events starting on the next page.

AGENDA 10

Action Item Review

Michelle Olson, CMEPAC Meeting Secretary

Action

Discussion

Report

Michelle Olson will review all open action items and will discuss all action items logged from this meeting.

AGENDA 11

Other Business and Adjourn

Terri Pyle, CMEPAC Chair

Action

Discussion

Report

Chair Pyle will call for any other business and once all business handled the meeting will adjourn.