CIP ERT MRO Specific Changes

This document is to show the active deviations from the base NERC Evidence Request Tool that are currently in place on the MRO Evidence Request tool.

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Last Updated: 01/24/2023

Tab: Instructions

- Added the following text below the image
  - Specific MRO Guidance
  - Any of the sampling tabs can be used as provided in the spreadsheet, but MRO does allow for the information to be provided in other formats as long as the information requested is included in that format. MRO requests that if the mapping of fields is not self-explanatory that an additional file be created in order to help with mapping of the information in the file to the column/tab within this spreadsheet.
  - If not all the information is available that is being requested, please work with the audit team lead to discuss.
  - If any of the requests are asking for information that was already provided in the RSAWs, please just indicate that in the comments and no need to provide additional information, unless clarification requested by audit team.
  - Please be aware to only respond to those requests that are within the scope of the audit. The whole spreadsheet is provided with no customization for each audit scope. The best way to accomplish this is to filter each tab by the Standard column as the Request ID column may indicate a specific Standard/Requirement, but be used for multiple Standard(s)/Requirements(s).
  - Level 1 light green responses are due 15 calendar days prior to the start of on-site audit work (specific date can be found in the ANL)
  - Level 1 bright green responses (requests that correspond to a sampling tab) are due ~4 weeks after the ANP is received (specific date can be found in the ANL)
  - Level 2 responses are due 15 calendar days prior to the start of on-site audit work (specific date can be found in the ANL)
  - *Justification: Adding additional instructions for MRO entities to help clearly explain how to use this document*

Tab: Level 1

- Add "Entity Response" Field
  - *Justification: Added space for entity to provide feedback*
- Add in individual requirements for each dark green request
  - *Justification: Provide a clearer request for each dark green request*
- Request ID: CIP-SRP-L1-01
  - Field "Initial Evidence Request Required in RSAW and NERC Evidence Request Spreadsheet" includes disclaimer "This Request is Optional" in red bold text
  - *Justification: Optional request for MRO entities*

- Request ID: CIP-002-R1-L1-06, CIP-009-R1-L1-02, and CIP-012-R1-L1-03
    - Added the following Standards and Requirements to this Request ID:
        - CIP-009 R1, R2, R3
        - CIP-012 R1
    - *Justification: Since MRO does not require entities to fill out the entire document, therefore additional requirements dictate the need for this Request ID to be completed by entities*
- Request ID: CIP-005-R1-L1-02 & CIP-005-R1-L1-03
    - Field "Require-ment" updated to "R1, R2"
    - *Justification: These requests are needed for all CIP-005 requirements*

Tab: Sample Sets L2

- No Changes

Tab: Level 2

- Add Request ID CIP-005-R1-L2-07
    - Standard: CIP-005
    - Requrement: R1 Part 1.1
    - Sample Set: CA-L2-04
    - Sample Set Description: Sample of Cyber Assets accessible via Interactive Remote Access
    - Sample Set Evidence Request: For each Cyber Asset in Sample Set SS-005-R2-L2-01, provide IP configuration information such as the equivalent information provided from 'ifconfig' or 'ipconfig'.
    - *Justification: Additional request to assist in CIP-005 auditing. This information is used to get a better understanding of the network*
- Hid "Sample Set Index Number" field
    - *Justification: This field is used with the Sample Sets Table table, which MRO does not use currently. This tab has been hidden in case it's useful with the Align process*
- Request ID: CIP-005-R1-L2-01
    - Updated "Require-ment" field to be "R1, R2"
    - *Justification: These requests are needed for all CIP-005 requirements*

Tab: BES Assets

- Removed Random Sampling Process field
    - *Justification: Used for random sampling which MRO does not use*
- Add Total Sampled Calculation (=COUNTIF(T4:T3003,"X"))
    - *Justification: Consistency with other tabs*

Tab: CA

- Changed field "OEA or self-log ID(s)" to "Included in an OEA or self-log?"
    - Added Data Validation for this field from the Ref tab, field "Access"
    - *Justification: This makes it easier for the entity to supply the information. They no longer have to look up the OEA ID, as MRO will take care of that work for the entity.*
- Removed "Random Sampling Process" field
    - *Justification: Used for random sampling which MRO does not use*

Tab: Low CA

- No Changes

Tab: ESP

- Added "Impact Rating of Associated BES Cyber Systems" field
  - Added Data Validation for this field from the Ref tab, field "Impact"
  - *Justification: Since MRO does not require that all tabs be completed, this field is included so that all the information for this tab is located on this tab, and is not dependent on any other tab*
- Added "Located at Control Center?" field
  - Added Data Validation for this field from the Ref tab, field "Access"
  - *Justification: Since MRO does not require that all tabs be completed, this field is included so that all the information for this tab is located on this tab, and is not dependent on any other tab*
- Removed "Random Sampling Process" field
  - *Justification: Used for random sampling which MRO does not use*
- Left Justify "For use by Region"
  - *Justification: Style Consistency*

Tab: EAP

- Removed "Random Sampling Process" field
  - *Justification: Used for random sampling which MRO does not use*

Tab: PSP

- Removed "Random Sampling Process" field
  - *Justification: Used for random sampling which MRO does not use*

Tab: TCA

- Removed "Random Sampling Process" field
  - *Justification: Used for random sampling which MRO does not use*

Tab: RM

- Removed "Random Sampling Process" field
  - *Justification: Used for random sampling which MRO does not use*

Tab: BCSI

- Removed "Random Sampling Process" field
  - *Justification: Used for random sampling which MRO does not use*

Tab: Personnel

- Removed "Random Sampling Process" field
  - *Justification: Used for random sampling which MRO does not use*

Tab: Reuse_Disposal

- Removed "Random Sampling Process" field
  - *Justification: Used for random sampling which MRO does not use*

Tab: CSI

- Removed "Random Sampling Process" field
  - *Justification: Used for random sampling which MRO does not use*

Tab: Procurement

- Removed "Random Sampling Process" field
  - *Justification: Used for random sampling which MRO does not use*

Revision History

- **03/03/2021:** Created
- **02/07/2022:** Updated with v6 Changes
- **01/24/2023:** Updated with v7 Changes
- **01/16/2024:** Updated with v8 Changes