



MRO CMEPAC 2020 Work Plan Approved by OGOC 4/1/2020

Col#	Work Item	Source	Activity	Timing	Responsible Party	Item Audience	Item Type	Status	Notes
1	Standard Review	MRO Strategic Goal 1	NSRF should incorporate evaluating how to address risk in standards, risk's not addressed by standards that should be in standards in addition to discussions on how to comply with standards.	Qtr 1	NSRF	OGOC	Work plan	In-Progress	NSRF does this during review of NERC Reliability Standards before commenting and balloting and SARs. The CMEPAC will work to identify when there is a risk that is not addressed by a NERC Reliability Standard that needs to be.
2	CMEPAC Conference call	Internal Compliance Program Maturity (Newly formed risk element)	The CMEPAC will host a monthly open forum CMEP Conference call starting with a topic of a Standards then open to all discussions	Monthly	CMEPAC Members	OGOC	Work plan	In-Progress	The first call took place August 25, 2020. The CMEP will determine, based on Membership feedback if this outreach tool is effective.
3	Collaboration with industry working groups and forums	MRO Strategic Goal 6/ Human resource Adequacy (Newly formed Risk Element)/ 2020 CCC Work plan Succession Planning: Chapter 1, Program 1.	Get reports from other industry working groups i.e. SPP RCWG/MCCF regarding projects/initiatives they are working on, aging work force/ ability to find qualified Compliance personnel and issues/risks they are seeing in our footprint. Seek opportunities to add value to ongoing efforts or identify duplicative activities that could be eliminated.	Periodically	CMEPAC Members	OGOC	Work plan	In-Progress	The CMEPAC is given quarterly reports from CMEPAC members who attend the SPP RCWG, the MCCF, and the NERC CCC meetings.
4	Self-Certification worksheet review	MRO Strategic Goal 6/ 2020 CCC Work plan Program Support Efforts: Chapter 2 Project2.	Review MRO's self-certification worksheets prior to issuance to registered entities.	Periodically	CMEPAC Members	OGOC	Work plan	In-Progress	The CMEPAC has reviewed four self-certifications worksheets, to date, and provided feedback to MRO Staff on all worksheets.
5	Support Entity Integration	MRO Strategic Priority 1/ 2020 CCC Work plan Stakeholder Collaboration: Chapter 2, Program 11.	Support the integration of any new registered entities and engagement of all registered entities (including less active members).	Periodically	CMEPAC Members	OGOC	Work plan	In-Progress	The CMEPAC is looking into "onboarding" options for newly registered entities.
8	Entity outreach	Charter	Conduct webinars on an as needed basis, aiming for at least one webinar every quarter. Create Standard Application Guides, when a need has been identified.	Periodically	CMEPAC Members	OGOC	Work plan	In-Progress	Internal Controls:The Use of Templates Documenting the Internal Compliance Program
9	Facilitate the sharing of audit approaches to standards/requirements	MRO Strategic Goal 6/ 2020 CCC Work plan Program Support Efforts: Chapter 2, Program 2.	Either via the CMEP Conference, webinars or other training, work with MRO to provide the internal control approaches for specific NERC Reliability Standard Requirements.	Periodically	CMEPAC Members	OGOC	Work plan	In-Progress	Newsletter articles were published in the March/April and May/June issues of Midwest Reliability Matters. A webinar was provided regarding internal controls. The CMEP Conference had a presentation on internal controls. There will be a webinar in Q4 on Internal Controls.
10	Newsletter Articles	Charter and ERO/MRO defined Risks	Draft newsletter articles on an as needed basis, aiming for at least one article every quarter.	Periodically	CMEPAC Members	OGOC	Work plan	In-Progress	The CMEPAC has submitted/published six articles to/in the Midwest Reliability Matters in 2020.
11	MRO Regional Standards Process Manual	Charter	Maintain the Midwest Reliability Organization Regional Reliability Standards Process Manual, and serve the roles noted for the Compliance and Standards Committees in the manual.	Qtr 3	CMEPAC Members	OGOC	Work plan	In-Progress	The CMEPAC has began the review of the manual and will submit the redlined manual to the OGOC for approval in Q4.

The items above this row are seeking/have been granted OGOC approval. The items below this row are example ideas on how the advisory council could implement any approved work plan items.

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CMEP Conference	work plan item 7	CMEP Conference Planning	Qtr 1	All	Council	CMEP Conference	In-Progress	Note this conference can be a workshop / MRO review of important topics.
Entity outreach	work plan item 8	Optimizing effective and efficient assessment of performance characteristics of an entity.	Qtr 4	Koller	Council	Webinar	Deferred	Review of MRO's CSI of 2018-2019 High Risk Requirements as a Start for any future article writing.
Entity outreach	work plan item 8	Align Tool Updates/Support	Periodically	Pyle, MRO Staff	Council	Newsletter/Webinar	Open	Refrain from duplicating efforts and info already in existence
Newsletter Articles	work plan item 11	Consider providing redacted responses to HEROs questions to registered entities	Periodically	Buchholz, Mehlhaff	Council	Newsletters	Deferred	



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	Entity outreach	work plan item 8	How to do quality risk determinations for self-logs and self-reports, include in process assessing public risk determinations. How to do risk determinations/data mining.	Qtr 4	Ness, Safi	Council	Webinar	Open	The RAC can assist the CMEPAC in drafting a newsarticle.
	Entity outreach	work plan item 8, 10	Outreach on new COP Process...what it was and what it is going to be.	Qtr 3	Koller, Mehlhaff, Ness	Council	Newsletter/Webinar	Deferred	
	Facilitate the sharing of audit approaches to standards/requirements	work plan item 9, 11	Develop guidance examining the concept of using the EA root cause codes within CMEP processes.	Periodically	Pyle, Stelly, Ness, Stewart, Buchholz	Council			One example is focusing on Root cause analysis use of cause codes within CMEP processes/human performance. For misoperations, work with the RAC on Root Cause and how to see if any other asset falls into the same category of the misoperated asset.
	Newsletter Articles	work plan Item 3	Collaboration with industry working groups and forums.	Periodically	Buchholz (Champion), Safi, Pyle	Council		In-Progress	Add in non-duplication efforts from all industry, advisory councils, NERC representatives, and industry forums. Bring back outreach ideas from other forums.
	Entity outreach	work plan item 8	Promote SER initiatives	Qtr 4	DePoorter/Mountjoy	Council	Newsletter	Open	A short article listing the SER initiatives-retired standards and SER phase II
	Entity outreach	work plan item 8	SAG (changed from SAR) development support		DePoorter (as default)	Council, NSRF		Deferred	Note from OGOC: ensure current guidance on the SAG process is incorporated. Webinar training, possibly joint project with the NSRF. Review of MRO's CSI of 2018-2019 High Risk Requirements as a Start to writing guidance. Note, guidance should be short and to the point, maybe 15 pages? Pickup in Q1 of 2021
	Newsletter Articles	work plan item 10	MRO Audit Practices	Qtr 4	Stelly (Champion), Stewart, Pyle	Council	Newsletter	Deferred	Follow-up to first MRO Audit Practices article.
	Newsletter Articles	work plan item 10	Identify "Aggregate Risks" per MRO's RRA	Periodically	All	Council	Newsletter/Webinar	Deferred	Risk in Aggregate items are: Cyber Security for Low Impact BES Cyber Systems (CIP-002-5.1a, CIP-003-7/8), Protection System Maintenance (PRC-005-6), Generator Frequency and Voltage Relay Settings (PRC-024-2), Generator Operations for Maintaining Network Voltage Schedules (VAR-002-4.1)